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PATENT

Case Docket No. PERCUS.071C1

Date: April 12, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zadno-Azizi, G.  
Appl. No. : 09/768,031  
Filed : January 23, 2001  
For : **EXCHANGE**  
METHOD FOR EMBOLI  
CONTAINMENT  
Examiner : Unknown  
Group Art Unit : 3763

) I hereby certify that this correspondence and all marked  
) attachments are being deposited with the United States  
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) addressed to: United States Patent and Trademark  
) Office, P.O. Box 2327, Arlington, VA 22202, on

April 12, 2002

(Date)

*Andrew M. Douglas*  
Andrew M. Douglas, Reg. No. 51,212

TRANSMITTAL LETTER

United States Patent and Trademark Office  
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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Request for Corrected Filing Receipt.
- (X) Copy of Updated Filing Receipt with corrections shown in red.
- (X) Copy of stamped return postcard showing correct filing date.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

*Andrew M. Douglas*  
Andrew M. Douglas  
Registration No. 51,212  
Attorney of Record

PERCUS.071C1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zadno-Azizi, G. ) Group Art Unit 3763  
Appl. No. : 09/768,031 )  
Filed : January 23, 2001 )  
For : EXCHANGE METHOD FOR )  
EMBOLI CONTAINMENT )  
Examiner : Unknown )

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REQUEST FOR CORRECTED FILING RECEIPT

United States Patent and Trademark Office  
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Arlington, VA 22202

Dear Sir:

Applicant hereby requests that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the true filing date of January 23, 2001. Presently, the Filing Receipt incorrectly shows the filing date as January 22, 2001.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: April 12, 2002

By: Andrew M. Douglas  
Andrew M. Douglas  
Registration No. 51,212  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(949) 760-0404



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/768,031	<del>01/22/2001</del> 01/23/2001	3763	978	PERCUS.071C1	37	26	2

CONFIRMATION NO. 2658

## UPDATED FILING RECEIPT



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20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gholam-Reza Zadno-Azizi, Newark, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/049,712 03/27/1998  
WHICH IS A CIP OF 08/975,723 11/20/1997 PAT 6,050,972  
WHICH IS A CIP OF 08/812,139 03/06/1997 ABN  
WHICH IS A CIP OF 08/650,464 05/20/1996 ABN  
AND SAID 09/049,712 03/27/1998  
IS A CIP OF 09/026,106 02/19/1998 PAT 6,312,407  
WHICH IS A CIP OF 08/650,464 05/20/1996 ABN

## Foreign Applications

If Required, Foreign Filing License Granted 05/04/2001

Projected Publication Date: 04/18/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Exchange method for emboli containment

Preliminary Class  
604

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Date: 1/23/01

**UTILITY/DESIGN PATENT**

(application)

Rec'd in the U.S.P.T.O. on the date stamped hereon via:

Express Mail #: EL539138689US Atty: SHL/AMD

Atty. Dkt. #

PERCUS-07161

Applicant:

N/A

Title:

Exchange method for emboli containment

VERIFIED BY: Asst:

lynn

Quality Control: SN

☒ Patent Appln. in 15 pgs. incl. Spec and 26 Claims

☒ Transmittal in Duplicate

☒ Preliminary Amendment in 5 pgs. 37 pgs. of Drawings

☐ Decl. and Power of Atty.

☐ Power of Atty. by assignee;  
copy of Assignment

☐ Decl. by Inventor(s)

☐ Small Entity Statement(s)

☒ Filed Unsigned

☐ Assignment in \_\_\_\_\_ pgs.

☐ Information Disclosure Statement; PTO-1449 w/ \_\_\_\_\_ Ref(s)

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